Direct Account Transaction Instruction Form

Bye Law 8.4.1

Account Number: Date: D D M M Y E A R

Name:

Please tick the appropriate transaction type to be executed.

- ☐ Deliver securities to selling Stock Broker
- ☐ Deliver securities, other than for settlement of Exchange Trades **(attach approved SEC Form 3)**
- ☐ Pledge initiation (Direct Account Holder being the Pledgor)
- ☐ Unpledge initiation (Direct Account Holder being the Pledgor)
- ☐ Confirmation of Pledge or Unpledge (Direct Account Holder being the Pledgee)

ISIN Code

ISIN Short Name

Quantity

Counter Party Account ID

Counter Party Name

Signature(s) of Direct Account Holder(s): _____

_____ _____

==========THIS PORTION TO BE COMPLETED BY CDBL ONLY==========

D D M M Y E A R

Received by / Date: ……

Processed by / Date: ……

Reviewed by / Date: ……