



To: Managing Director
All Listed Companies and Asset Managers

10th June 2019

Compliance report on implementation of the BSEC's Directive for blocking the securities of Sponsors/Directors/Placement holders

Kindly refer to the BSEC's directive No. BSEC/CMRRCD/2009-193/216 dated 15 May 2019, which was sent to you on 21st May 2019 along with CDBL's Operating Instruction No. 131 - 19 dated 21st May 2019, regarding blocking of the securities of your Company / Mutual Fund held by in the BO Accounts of your Sponsors/ Directors/ Placement share/unit holders through the 'BLOCK' module of Central Depository System (CDS) of Central Depository Bangladesh Limited (CDBL). The directive of the BSEC has come into operation immediately upon its issuance.

In view of the above you are requested to furnish a compliance report to CDBL on implementation of the aforesaid directive of the BSEC in the following tabular format by 17th June 2019:

Issuer Name: Issuer ID: ISIN

Serial no.	BO Account no.	BO Name	Account holder type (Sponsor /Director /Placement holder)	Block approval date	Quantity blocked	Total shares held by the shareholder named in column No. 3 including paper shares, if any (For Sponsors & Directors only)		Difference between SI no. 6 & 7	Reason for difference, if any
						Quantity	% to Paid-up capital		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
Total:									

In addition to signed hardcopy of the compliance report please send the aforementioned tabular report in MS Excel file to the email address at Moinul.haque@cdbl.com.bd

For any queries, please call Mr. Moinul Haque, (01911 367826) or Mr. Shihab Bari (01714303340) or Mr. Kazi Minhaz Uddin (01715578700) who will be pleased to assist you.

Thanking you.

Yours sincerely,

Shuvra Kanti Choudhury, FCA
Managing Director & CEO

Cc to: Chairman, Bangladesh Securities and Exchange Commission
Executive Director (CDS), Bangladesh Securities and Exchange Commission